

08/20/01 1130 U.S. PTO

A

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | |
|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No. |
| | First Inventor or Application Identifier |
| | Title |
| | Express Mail Label No. |

1033 U.S. PTO
09/22/01
08/20/01

| | |
|--|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages <u>3</u>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | 6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>1</u>] | ACCOMPANYING APPLICATION PARTS 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) * 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney <small>(when there is an assignee)</small> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations] 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input type="checkbox"/> * Small Entity Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other: _____ |
| 4. Oath or Declaration [Total Pages <u> </u>] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small><ul style="list-style-type: none"><input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b) | |
| NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). | |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 60,122,640
Prior application information: Examiner NASH, DEBORAH Group / Art Unit (PROVISIONAL)
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

| | | | | |
|---------|---|-------------------|-----------------------|-----------------|
| Name | <u>A. ALLEN SCHINKEL (INDIGO WIND FILMS INC.)</u> | | | |
| Address | <u>2268 WEST 45TH AVENUE</u> | | | |
| City | <u>VANCOUVER</u> | State <u>B.C.</u> | Zip Code | <u>V6M 2T3</u> |
| Country | <u>CANADA</u> | Telephone | <u>(604) 266-8289</u> | Fax <u>SAME</u> |

| | | | |
|-------------------|--------------------------|-----------------------------------|-----------------|
| Name (Print/Type) | <u>A. ALLEN SCHINKEL</u> | Registration No. (Attorney/Agent) | |
| Signature | <u>[Signature]</u> | Date | <u>14/08/01</u> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$)**395.00**

Complete if Known

Application Number
Filing Date
First Named Inventor **A. ALLEN SCHINKEL**
Examiner Name
Group / Art Unit
Attorney Docket No

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 201 | 760 | 380 | Utility filing fee | 355.00 |
| 106 | 206 | 310 | 155 | Design filing fee | |
| 107 | 207 | 480 | 240 | Plant filing fee | |
| 108 | 208 | 760 | 380 | Reissue filing fee | |
| 114 | 214 | 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)**355.00**

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |

**or number previously paid, if greater, For Reissues. see below

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|-----------------------|-----------------------|----------|----------|--|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 78 | 39 | Independent claims in excess of 3 |
| 104 | 204 | 260 | 130 | Multiple dependent claim, if not paid |
| 109 | 209 | 78 | 39 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 239 | 130 | 130 | Non-English specification | |
| 147 | 247 | 2,520 | 2,520 | For filing a request for reexamination | |
| 112 | 212 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 213 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for reply within first month | |
| 116 | 216 | 380 | 190 | Extension for reply within second month | |
| 117 | 217 | 870 | 435 | Extension for reply within third month | |
| 118 | 218 | 1,360 | 680 | Extension for reply within fourth month | |
| 128 | 228 | 1,850 | 925 | Extension for reply within fifth month | |
| 119 | 219 | 300 | 150 | Notice of Appeal | |
| 120 | 220 | 300 | 150 | Filing a brief in support of an appeal | |
| 121 | 221 | 260 | 130 | Request for oral hearing | |
| 138 | 238 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,210 | 605 | Petition to revive - unintentional | |
| 142 | 242 | 1,210 | 605 | Utility issue fee (or reissue) | |
| 143 | 243 | 430 | 215 | Design issue fee | |
| 144 | 244 | 580 | 290 | Plant issue fee | |
| 122 | 222 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 223 | 50 | 50 | Petitions related to provisional applications | |
| 126 | 226 | 240 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 281 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 760 | 380 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 249 | 760 | 380 | For each additional invention to be examined (37 CFR § 1.129(b)) | |

Other fee (specify) **ASSIGNMENT OF APPR. 40.**

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00**

SUBMITTED BY

| Name (Print/Type) | Registration No. (Attorney/Agent) | Complete (if applicable) |
|--------------------------|-----------------------------------|--------------------------|
| A. ALLEN SCHINKEL | N/A | Telephone |
| Signature | | Date |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The PTO did not receive the following listed item(s): **Cover Sheet**

00000025 09932820

40.00 DP

08/22/2001 ANNEB11
02 FC:581

Allen Schinkel
2268 West 45th Avenue
Vancouver, B.C.
V6M 2J3
(604)266-8289

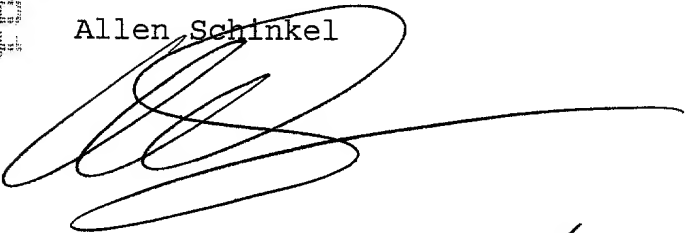
TO: THE UNITED STATES PATENT AND TRADEMARK OFFICE

Please find enclosed my application for a patent including:

- declaration, 2 pages
- application transmittal, 1 page
- small entity statement, 1 page
- fee transmittal, 1 page
- assignment of application, 1 page
- specification 3 pages
- drawings, 1 page
- cheque in the amount of \$395.00 U.S. funds
- self-addressed post card

Thank you,

Allen Schinkel



P.S. ENCLOSED IS \$1.00 CANADIAN FOR
A STAMP FOR THE RETURN POSTCARD
THANKS VERY MUCH!!